MOPAC | MAYOR OF LONDON OFFICE FOR POLICING AND CRIME | METROPOLITAN POLICE | **TOTAL POLICING**

AUDIT PANEL
Friday, 22 September 2017

Met Governance Improvement Plans
Report by: The Director of Strategy & Governance

Report Summary

Overall Summary of the Purpose of the Report
This report sets out the Met's governance improvement plans for areas identified in the Met's 2016/17 annual governance statement and how these will be reported to Audit Panel in future quarters. It maps actions from the plans to specific recommendations in DARA's annual report.

Key Considerations for the Panel
- The improvement plans set out in appendix A provide the details of intended actions to mature the Met's governance processes. The Panel may wish to consider whether these meet the expectations set out at the June meeting.
- Future reports will provide details of the milestones that we have achieved, whether they met the intended deadline and any overdue actions with the reasons for this. The Panel may wish to consider whether this meets their expectations.

Interdependencies/Cross Cutting Issues
Governance improvement plans include significant controls for the governance, information management and capability risks reported in the Met's risk report. They also include actions on improving our governance around internal audit recommendations reported in the Met's audit and inspection report.

Recommendations

The Audit Panel is recommended to:
a.   note the improvement plans and agree the intended approach to future reporting of these.

1.   Supporting Information

1.1.   The Met's Annual Governance Statement (AGS) was published with the Statement of Accounts on 26 July 2017.

1.2.   Over the last quarter action plans have been developed for the improvement areas identified in the AGS. The improvement areas are:
- Strategic vision and planning
- Decision making processes and delegation
- Performance management
- Capability, training and development
- Collaboration and strategic partnerships
- Commercial collaboration and strategic partnerships
- Assurance framework
- Community engagement and public confidence
- Information management

1.3.   At June's meeting Audit Panel requested that a detailed action plan to address the areas for improvement identified in the Met's AGS be submitted to the September meeting (action 8). Plans for each area can be found at **appendix A**.

1.4.   These plans have been signed off by Met leads and were agreed at Risk & Assurance Board on 05 September 2017.

1.5.   Future reports to Audit Panel will include milestones achieved to date and the reasons for any delay in delivery of milestones, (action 9).

1.6.   In addition the Panel asked for an update on progress to deliver the specific actions highlighted in our internal auditor's annual report on improving governance (action 10). These can be found at **appendix B**.

1.7.   The majority of these areas are covered within the governance improvement plans, actions have been mapped to DARA's key improvement areas. The one exception to this is actions to align MOPAC and Met governance on which a separate update is given.

1.8.   Members are asked to note the improvement plans and agree the intended approach to future reporting of these.

2.   Equality and Diversity Impact
    The model of corporate governance used by the Met is based on the principles of openness; integrity; accountability and equality. The development of the corporate governance framework through areas identified for significant improvement should have a positive diversity impact by ensuring that these principles continue to inform the way in which the Met operates.

3.   Financial Implications
    It is anticipated that the costs associated with the areas of work identified in this report will be met from the relevant unit's staff and officer budgets. Any

funding required over and above these existing budgets will be subject to the normal MOPAC/Met governance approval and planning processes.

4.   Legal Implications
The Mayor's Office for Policing and Crime (MOPAC) and the Commissioner of Police of the Metropolis (the Commissioner) are relevant bodies under Schedule 2 of the Audit Commission Act 1998 for the purpose of the Accounts being subject to audit. Both are under a statutory duty to approve an Annual Governance Statement (AGS) that has been prepared in accordance with proper practices in relation to internal control through members of the body meeting or as a whole or by a committee, under regulation 6 of the Accounts & Audit (England & Wales) Regulations 2015.

In order that MOPAC and the Commissioner can discharge the statutory duty referred to above, the Met provides its certification to the MOPAC by submitting an Annual Governance Statement (AGS), as recommended by CIPFA / SOLACE guidance "Delivering Good Governance in Local Government: Framework (2016") ("The Framework") and CIPFA Guidance Notes for Police Bodies in England and Wales (2016") ("The Guidance"), which demonstrates how aspects of governance have been implemented within the police force.

The corporate governance framework provides the supporting information which evidences that the Met will ensure it has robust systems in place that demonstrate it is adhering to the strategic direction set by the Commissioner, and is delivering good governance through the delivery of many operational and financial aspects within a delegated framework, in accordance with Guidance and best practice.

Compliance with the governance framework will also assist in raising standards, reduce risk of legal challenge and build public confidence by ensuring the Met operates in a transparent manner.

5.   Risk Implications
The annual governance review identifies significant governance areas for improvement across the Met. These are monitored quarterly and aligned with corporate risk processes.

6.   Contact Details
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7.   Appendices and Background Papers

Appendix A – Governance improvement plans - Restricted
Appendix B – DARA annual report recommendations - Restricted